AI for Penetration Testing: Exploring the Intersection of Artificial Intelligence and Cybersecurity

Kiera Conway   
Dakota State University  
Seattle, USA  
Kiera.Conway@trojans.dsu.edu

*Abstract*— In response to the rising complexity and frequency of cyber threats, Penetration Testing (PT) has become imperative for safeguarding digital assets. However, traditional PT methods are limited by substantial workloads, complex networks, and a critical shortage of skilled penetration testers (pentesters) - challenges which have prompted the exploration of Artificial Intelligence (AI) integration as a potential solution. This report explores current research through a comprehensive literature review which highlights the imperative shift toward structured methodologies to enhance operational efficiency, comprehensive assessments, and vulnerability prioritization in PT. By synthesizing insights from these research papers, this report identifies current issues plaguing the field, including challenges related to data accuracy, model robustness, and environmental adaptability. It then examines the interaction of three advanced AI systems designed to address these limitations and revolutionize PT: Automated Decision-Making Systems (ADMS), Self-Improving Systems, and Human-in-the-Loop (HITL). ADMS reduce human reliance and improve decision-making efficiency by leveraging deep reinforcement learning (DRL) and Natural Language Processing (NLP) to automate vulnerability identification. Self-Improving Systems implement real-time data and adaptive algorithms to enhance model robustness and environmental adaptability. While HITL systems integrate human expertise directly into the AI learning process to provide AI-driven tools with benefits from human insight. ~~By synthesizing insights across these systems, the report highlights a shift toward more structured methodologies in PT that prioritize operational efficiency, comprehensive assessments, and vulnerability management.~~ Verification of these approaches will involve empirical analysis, theoretical inquiry, and comparison with real-world PT scenarios to ensure their practical effectiveness and applicability. Overall, the goal is that by discussing the impending integration of PT and AI from a strategic point of view and addressing how various systems can address some of the main challenges, we can build a solid foundation for this transition and ensure more sustainable PT practices

Keywords— Artificial Intelligence, Penetration Testing, Ethical Hacking, Vulnerability Assessment, Machine Learning, Reinforcement Learning, Deep Learning, Automated Decision-Making Systems, Self-Improving Systems, Human-in-the-Loop

# Introduction

In an age defined by the relentless increase of technology, the growing digital landscape has become both a playground for innovation and a battleground for cyber threats. As organizations increasingly rely on technology to operate, communicate, and store critical data, safeguarding these assets against potential adversaries becomes paramount. This realization has given rise to Penetration Testing (PT) as a vital and proactive strategy that allows organizations to simulate cyberattacks on their systems to discover and eliminate dangerous vulnerabilities. PT, often referred to as ethical hacking, is the “offensive approach” of probing and assessing computer systems, networks, and applications “to actively identify vulnerabilities and then exploit them in the same way as a genuine attacker [1].”

This offensive approach to PT emphasizes the importance of following a structured process to systematically evaluate a system's security. While the specific phases of PT may exhibit variations in terminology depending on the source, their fundamental organization remains the same: preparation, implementation, and analysis [2]. However, as the complexity and scale of networks continue to expand, traditional manual methods need help to cope with the substantial workload inherent to this process. Penetration testers (pentesters) involved in this field must maintain a continuous regimen of training and practical skill development to remain at the forefront. Unfortunately, this excessive pressure, combined with the recent shortage of pentesters, has left many in the field feeling overburdened and overtired [1].

This situation has prompted a growing trend toward integrating automation technologies, including Artificial Intelligence (AI), Machine Learning (ML), and Reinforcement Learning (RL) into PT. Automated PT can significantly reduce the time and resources required for testing, making it a crucial development in the field. While extensive research has already begun to explore this integration [1] [3], many of these approaches still require manual human intervention for vulnerability identification. However, recent innovative methods have emerged that leverage neural networks to gain a deeper understanding of the intricate and dynamic security environments within modern networks, ultimately enhancing the role of AI in PT. This evolution is a pivotal moment for cybersecurity and AI as they converge to tackle digital threats.

# Related Work

## Review 1: Gathering Information with AI and Reinforcement Learning

### Introduction

The report by Ghanem and Chen focuses on the initial step of PT, known as Gathering Information; its primary focus is on how the integration of AI, particularly RL, can revolutionize this critical phase. RL has quickly become one of the most important PT advancements, resulting from the recent integration of AI and cybersecurity. This transformative approach to ML enables systems to learn through experiences from interactions with their environments. The incorporation of RL into automated PT techniques not only increases productivity, but also limits common human errors. However, existing automation systems have limitations in their scope and optimization that result in an inability to comprehensively address all potential threats while efficiently managing resources. Recognizing these challenges, Ghanem and Chen's research paper sets forth to employ ML techniques in the development of an Intelligent Automated Penetration Testing System (IAPTS) that will be “capable of imitating human PT experts in performing an intelligent and automated pen test [1].”

### Summary

This research delves into the complexities of PT, an area that humans themselves often find challenging. The authors emphasize that blind automation, which entails complete automation without any human intervention, is impractical. This is particularly true during the initial phases of PT as the explorative nature often yields incomplete conclusions and requires continuous revisitation/changes in approach. As such, utilization of AI at this stage tends to result in uncertainty. However, the authors suggest that by using RL to automate these phases intelligently, it can more closely resemble a human expert’s decision-making process.

The challenges associated with automation in PT are not new, as autonomous systems have been employed in the industry for some time. However, these current systems often require substantial hands-on guidance, extensive time and resources, and are limited to smaller networks. Especially considering “PT should be repeated and performed on a regular basis to ensure continuous security,” Ghanem and Chen's work suggests that intelligent automation holds the key to significantly improving various aspects of PT [1]. These improvements would not only reduce the cost of manual, repetitive, and methodical testing but could also make PT more efficient and targeted. This streamlining and automation of repetitive tasks would reduce testing time, foster adaptability, and facilitate the exploration of innovative and unconventional techniques.

With this objective in mind, the authors advocate for the use of RL in PT, noting that RL aligns well with the “goal-directed learning and decision-making processes” required in the PT context [1]. Unlike manually created rules and configurations, RL learns through the consequences of its interactions, focusing on long-term goals rather than short-term fixes. This emphasis on RL represents a crucial step in addressing the challenges posed by PT automation and is converted into a formal computational model known as a Partially Observed Markov Decision Process (POMDP).

### Methodologies

The methodologies employed in Ghanem and Chen's research revolve around the innovative application of RL within the framework of POMDP. This approach seeks to address the challenging PT scenario where an “agent cannot determine with full certainty the true state of the environment” by encompassing essential elements such as state observations, selection policies, dynamic transitions, and rewards [4]. Within this framework, an RL agent learns to make decisions based on its observations, with the goal of maximizing cumulative rewards. The strategies executed by the RL agent that returns the largest reward value are then stored in memory for similar cases in the future, thus enabling it to autonomously tackle complex PT problems.

Ghanem and Chen tackle these challenges by integrating a combination of advanced algorithms, PERSEUS and PEGASUS, which are specifically designed for solving POMDPs. PERSEUS, a “randomized point-based value iteration” algorithm, simulates various random scenarios to obtain a set of educated guesses, which is referred to as a belief set [1]. These guesses represent possible situations or states of the environment based on the limited information available to the AI agent. This understanding is then improved gradually, as the algorithm updates its belief set after every simulation to ensure that each value either improves or at least remains constant [4].

Alternatively, the PEGASUS algorithm is a policy search method that seeks to determine optimal sequences of actions, known as policies, that maximize cumulative rewards over time. It transforms the problem into an equivalent deterministic POMDP, where each state-action pair has only one possible outcome. PEGASUS then conducts a set number of simulations, iteratively refining the policies to maximize their estimated cumulative reward value [4]. This approach is particularly effective in solving large POMDPs, making it suitable for addressing the challenges posed by PT, as it contains a “polynomial rather than exponential” time complexity, making it suitable for large-scale PT scenarios [1].

During the learning process for their proposed system, IAPTS relies on human input as experts provide knowledge to teach the system. However, over time, the system evolved, gaining the potential to develop autonomous learning modules that reduce the need for manual intervention. This evolution aligns with the various operational modes of IAPTS ranging from fully autonomous (Level 4) to learning mode (Level 1), where a human expert performs PT while the system observes and learns.

The primary goal of testing IAPTS was not only to evaluate its capabilities but also to demonstrate the suitability and effectiveness of applying RL to PT. The researchers conducted two main types of tests within controlled environments: Simple Simulation and Experience Replay. In the Simple Simulation, they set up a simulated network consisting of seven machines (M0 to M6) to mimic real-world PT scenarios. This allowed them to gain insights into how IAPTS would perform under various conditions, measure its performance metrics, assess execution times, and identify potential weaknesses. Alternatively, for the Experience Replay tests, the researchers simulated scenarios in which the same network underwent updates and upgrades. These tests aimed to evaluate how well IAPTS learned and adapted to changes in the network, further confirming its potential for automating PT processes.

### Main Findings

The main findings of Ghanem and Chen's research paper provide valuable insights into the field of PT. In their Experience Replay tests, they discovered that the system successfully learned and stored knowledge from previous tests, with policies being effectively reused in most instances. This highlights the system's adaptability and capability to learn from past experiences, a crucial feature for PT automation. When compared to traditional manual methods, which rely on human expertise, and the blind automation approach, where tasks are automated but lack intelligent decision-making, IAPTS, significantly reduces the time required for testing and outperforms both approaches in terms of efficiency and effectiveness. This not only saves time and resources but also generates alternative attack strategies that humans may overlook.

The RL-generated attack policies also proved to be highly relevant and accurate, especially when targeting the most secure machine in the network. These policies were deemed plausible and realistic, mirroring how actual attackers might approach and execute an attack on the target system. Additionally, IAPTS was intentionally designed with flexibility in mind, permitting the seamless incorporation of new features and functionalities in the future. This modern design ensures IAPTS remains a versatile and evolving tool in the field of PT, through continual enhancement of its performance and capabilities.

### Implications

This research paper offers a comprehensive overview of PT, including its purpose, advantages, disadvantages, and unique challenges present in the first step in PT - Gathering Information. By emphasizing the extensive data collection and assessment required during manual execution of this phase, the authors highlight the necessity for discussions on automation in AI. Through practical simulations, the authors demonstrate how these solutions can significantly reduce human effort, enhance accuracy, improve adaptability, and expedite tasks, ultimately proving that automation can make the PT processing more efficient.

This report also introduces advanced techniques, such as RL and POMDPs, within the context of PT. RL, being a subset of AI, holds particular relevance in automating various phases of PT. It also highlights the practicality and adaptability of RL by exploring its application in partially observable environments, utilizing belief sets instead of the Q-tables in a fully observable scenario. This incorporation of RL and POMDPs in partially observable environments not only signifies the direction of future research but also illustrates that automated PT is an evolving field marked by ongoing developments. As such, this paper not only demonstrates the current achievements, but also serves as a preview of the extensive possibilities and potential advancements within the field.

## Review 2: Enhancing the Scanning Phase with GyoiThon

### Introduction

The research paper “Penetration Testing Procedure using Machine Learning” focuses on the second phase of PT - the scanning phase, with a particular focus on assessing the effectiveness of GyoiThon. GyoiThon is a PT tool integrated with ML capabilities, specifically leveraging the Naïve Bayes algorithm, that primarily focuses on automating data acquisition from target URLs [5]. This integration represents a significant advancement within the field of cybersecurity, as it not only enhances the speed and efficiency of vulnerability detection, but also introduces the potential for more precise identification of security weaknesses. By leveraging other PT tools to enhance its capabilities, GyoiThon extends its utility beyond traditional methods. It automates the process of gathering data from target URLs, thus streamlining the scanning phase while reducing the time and effort required by pentesters.

### Summary

In this study, the researchers set out to address the fundamental research question: ‘How effective is the GyoiThon tool in detecting vulnerabilities [5]?’ The hypothesis guiding this exploration speculates that PT tools integrating ML algorithms will exhibit greater effectiveness in searching for and identifying vulnerabilities compared to their non-ML counterparts. To highlight this premise, the paper briefly examines common ML-based PT methods used in the field, including tools known for simulating real-world attacks, detecting vulnerabilities, and addressing security weaknesses. Their analysis provided valuable context and benchmarks for evaluating GyoiThon's performance and offers support for their hypothesis regarding the capabilities of using ML in PT.

This exploratory study places a particular emphasis on comparing GyoiThon's default mode with its ML mode, executing each of them within controlled environments. Through these experiments, the researchers explore the capabilities of GyoiThon and showcase its ability to enhance PT. By exploring the effectiveness of GyoiThon, the authors assess its efficiency in detecting known vulnerabilities, identifying software components, discovering configuration weaknesses, highlighting authentication issues, and pinpointing general web application vulnerabilities [5]. These capabilities emphasize GyoiThon's pivotal role in the scanning phase of PT, highlighting the demand for advanced tools and techniques to navigate the complex landscape of cybersecurity.

### Methodologies

The methodology employed in this report is particularly significant as it delves into a novel area of interest within cybersecurity. It's worth noting that this comprehensive study of GyoiThon represents a unique endeavor, as the only prior study into the capabilities of the tool was conducted by its developer. As such, the researchers had the distinct advantage of operating within a flexible framework that lacks predefined steps, which enabled them to create new procedures to address their research question [5].

As for execution, the researchers established an isolated testing environment using the Kali Linux operating system within VirtualBox. Within this controlled environment, GyoiThon was employed to detect vulnerabilities related to data exchange; it analyzed both unencrypted HTTP traffic on Port 80 and encrypted HTTP traffic on Port 443. The target websites were hosted on a server provided by OWASP and accessed via a locally hosted environment.

Since the researchers' hypothesis centered on comparing PT tools with and without ML algorithms, their analysis was limited to GyoiThon's Default Mode and ML Mode. The Default Mode encompassed various steps, including parsing HTTP responses, identifying product/version information, assessing vulnerabilities using Common Vulnerabilities and Exposures (CVE) numbers, examining HTML and JavaScript comments, analyzing debug messages, and assessing login pages [6]. In contrast, the ML Mode incorporated all the steps from the Default Mode, but additionally utilized the Naïve Bayes algorithm for product/version identification [6]. This setup enabled researchers to directly evaluate the effectiveness of the Naïve Bayes algorithm in the realm of PT, aligning with their hypothesis.

### Main Findings

The analysis of the PT procedure conducted using GyoiThon revealed several significant insights. First, it was observed that Port 80, commonly associated with unencrypted HTTP data, exhibited a higher number of vulnerabilities for both the Default and ML modes. This finding aligns with expectations, as Port 80's lack of encryption renders it less secure compared to HTTPS (Port 443). This absence of encryption causes Port 80 to be more susceptible to vulnerabilities and potential attacks, as was reflected in the test results. However, the variation in the number of vulnerabilities detected between these ports decreased with the use of ML mode; by identifying three additional vulnerabilities in Port 80, ML mode reduced the disparity in vulnerability frequency from six to only three [5]. Not only does this outcome highlight the potential of GyoiThon, but it also supports the hypothesis that integrating machine learning into PT tools enhances their effectiveness in identifying vulnerabilities.

While these initial results demonstrate success, it is essential to note that GyoiThon relies on external sources, such as the National Vulnerability Database (NVD), to gather information about vulnerabilities. This reliance is a limitation of the tool’s capabilities as it may be unable to identify vulnerabilities that have not yet been documented in the NVD. This potential blind spot highlights the importance of staying updated with emerging threats and identifies an aspect requiring improvement. As such, while GyoiThon showcases promise as a valuable PT tool, the researchers explain that future testing against real websites and a comprehensive assessment of all nine modes is necessary to obtain a more comprehensive understanding of its capabilities [5]. These findings contribute to the ongoing development of AI-driven PT tools and emphasize the need for continuous refinement to stay ahead of evolving cyber threats.

### Implications

In [5], researchers extensively explore the application of ML in PT, using GyoiThon as a focal point. By showing a practical example of how AI techniques can be effectively harnessed for the second phase of the PT process, scanning, their study directly aligns with the central theme of this project Through a comparative analysis between default PT methods and those augmented with AI, this article showcases the effectiveness of AI-driven approaches through direct evidence. This novel and practical study not only highlights the superiority of ML-enhanced techniques but also emphasizes the potential transformative power of AI within the cybersecurity domain. Through empirical evidence, this report encourages further exploration into the integration of AI into the field of PT and invites active engagement for modern AI security solutions.

Arguably most importantly, the article delves into the discussion of common vulnerabilities found in web applications and the various tools used to detect them. This practical understanding of vulnerabilities and the tools and techniques available for their detection and mitigation is essential for effectively navigating the complex digital domain.

## Review 3: Exploitation in PT with RL

### Introduction

In the paper titled “Vulnerability Exploitation Using Reinforcement Learning,” the authors leverage modern PT techniques, specifically ML and RL, to automate one of the most critical phases in cybersecurity: exploitation. By prioritizing actions that maximize rewards, RL underscores the importance of developing tools that not only identify vulnerabilities but also utilize ML to efficiently exploit them. The authors focus goes beyond automation and emphasizes the need for further evolution in PT to address the complex field of cyber security.

The intelligent agent created in this report prioritizes adaptability, ensuring it can be trained on a wide array of vulnerabilities and operating systems. This approach offers a tailored and intelligent approach to exploitation that challenges traditional methods, which often involve resource-intensive, brute-force techniques that are time and resource intensive [3]. To accelerate the PT process and ensure a more targeted and efficient approach to identifying and exploiting vulnerabilities, this agent leverages Metasploit, a well-known PT tool with a wide range of payloads for various purposes.

What further sets this approach apart is the agent’s ability to archive successful exploits as states alongside corresponding payloads with high success rate. The agent then intelligently leverages this payload repository, known as a Q-table, to execute exploitation with precision – a milestone that demonstrates the potential of RL to leverage an award system and continuously refine and enhance exploitation strategies using AI. This report provides a look into the future of PT, where customization, adaptability, and intelligence combine to not only identify vulnerabilities but to masterfully exploit them.

### Summary

In this report, the authors utilize ML to create an RL agent that makes decisions by interacting with a fully observable environment. The primary focus of this RL agent lies in the exploitation phase, the third and crucial step in PT. Through an extensive training process, the agent interacts with a simulated environment, dynamically adapting its exploitation strategies by analyzing various factors, including the environment configuration. This adaptive approach is made possible by representing the environment as states, each defined by a unique combination of operating system and vulnerability [3]. These states are then linked to payloads that have demonstrated a high likelihood of success and are stored in a Q-Table. Due to the variability in payload effectiveness based on these states, the authors reward successful attempts, which they define as "the establishment of a reverse shell session following payload execution [3]." Therefore, even in instances where the payload is not successful, the RL agent adjusts its decision-making process based on the rewards it receives; it then learns to prioritize actions that result in positive rewards.

Once the RL agent is trained, it is deployed in a real-world scenario where it encounters target systems with specific operating systems and vulnerabilities. Metasploit serves as a valuable resource as the RL agent selects and utilizes payloads based on its learned strategies, facilitating effective delivery of exploits to compromised target systems. The extensive payload options offered by Metasploit enhance the agent's versatility during the exploitation process. This integration contributes to the authors primary goal of creating a versatile “general agent that is capable of exploiting any/general task and making the appropriate decision [3].”

This combination of ML, RL, and established PT tools represents a significant advancement in the merging of AI and cybersecurity. Through the incorporation of RL algorithms and their integration with established tools like Metasploit, this report demonstrates an evolution of PT. This innovative approach showcases the potential of AI-driven agents to optimize and streamline exploitation tasks, ultimately benefiting cybersecurity professionals in identifying and addressing vulnerabilities in a more efficient and effective manner.

### Methodologies

The methodologies employed in this study consist of two important phases, the Training Phase and the Exploitation Phase. During the Training Phase, an intelligent agent is developed through the application of RL techniques, using a guess-and-reward system. This phase involves the agent navigating a simulated environment, in which it uses an “epsilon greedy strategy” to make informed decisions by balancing exploration (delivering a randomly selected payload) and exploitation (selecting a specific payload that will yield the highest expected reward based on its learning so far). The agent then receives rewards based on the success or failure of a particular payload, from which it builds a valuable repository of previous exploits and their results. The training phase is then repeated for a certain number of iterations, with a gradual decrease of exploration.

To motivate its decision-making, a point-based reward system is employed that offers substantial rewards for success and imposes penalties for failures. These rewards are maximized by leveraging the Q-learning algorithm, to “determine the best series of actions to take based on the agent’s current state [7].” This approach often results in the agent executing calculated and cautious actions to minimize risks [3].

The learning phase honed its exploitation skills across seven trials, during which the agent spent an average of 2.5 hours executing 500 attempts to exploit vulnerabilities. During this phase, the agent's primary focus was on continuous learning and strategy refinement. It actively experimented with different actions, assessing their success or failure, and served to provide insight into valuable tuning parameters from controlling the importance of new versus old information, long-term versus short-term rewards, to exploration vs exploitation [3]. An assessment of the agent's performance is then calculated to determine how effective it is at establishing a reverse shell. This computationally intensive process positively reflected the agent's ability to actively learn and adapt its exploitation techniques by making informed decisions.

In the exploitation phase, the RL agent took advantage of its learned strategies, drawing insights from its repository to effectively select payloads from the Metasploit framework. To simulate real-world scenarios, it was deployed on multiple vulnerable machines with a "remote code execution" [3] vulnerability found in Apache CouchDB, specifically Version 3.1.0. The agent's primary objective was to establish a reverse shell, which was achieved with remarkable efficiency by leveraging payloads with the “highest rank in the Q-Table [3].” Impressively, it accomplished this goal in an average of just 8.26 seconds across the tested systems. This performance indicates that the training phase prepared the agent well and proved its ability to effectively execute learned strategies against real-world systems.

### Main Findings

The study's main findings highlight the remarkable effectiveness of the RL agent in automating exploitation tasks, particularly within the realm of PT. As the RL agent gains experience through training, it exhibits a gradual shift from exploration to exploitation, becoming more discerning in its actions. For example, while it initially explores new actions to gather information, over time it prioritizes actions it has deemed effective for achieving its goals. This transition, combined with the selection of optimal parameters, consistently resulted in an average success rate of 83.64% and an average exploit time of 8.26 seconds [3]. These notable statistics highlight the potential of the RL approach to significantly reduce the time and resources required for PT, presenting a novel and cost-effective solution to the challenges of vulnerability exploitation.

In contrast to traditional exhaustive testing methods, which often follow rigid approaches, the RL agent's adaptability and capacity for fine-tuning its strategies prove beneficial. By focusing on maximizing overall rewards and balancing learning and randomness, the RL approach proves more efficient and effective in verifying exploitable vulnerabilities. In summary, the main findings of this study emphasize the RL agent's aptitude for automating exploitation tasks, its proficiency in achieving PT objectives, and its potential to revolutionize vulnerability assessment practices.

### Implications

This study explores modern techniques in cybersecurity, highlighting the innovative use of RL algorithms for vulnerability exploitation and emphasizing the field's dynamic nature. The authors take a comprehensive approach as they explore not only the capabilities of RL but also its adaptability. Notably, they explore versatile fine-tuning options, such as learning rate and exploration rate, and provide insights into the impacts of these methods. The study also examines RLs application in fully observable environments, utilizing Metasploit and Q-tables instead of the previously mentioned POMDP and belief sets in partially observable scenarios. This multifaceted exploration demonstrates how RL techniques can be adapted and leveraged effectively across different cybersecurity scenarios, aligning seamlessly with this reports goal of understanding AI techniques in cybersecurity.

Additionally, since RL consistently selects the most effective actions to maximize rewards, it directly addresses a critical aspect of Penetration Testing, particularly in Phase 3 - Exploitation. By prioritizing the actions that yield the highest rewards, RL showcases the importance of developing similar tools that not only identify vulnerabilities but utilize ML to efficiently exploit them. Overall, this research broadens perspectives on the possibilities within the field of cybersecurity and highlights its crucial role in staying current with the dynamic landscape of digital threats.

# Proposed Approach

In the rapidly advancing field of cybersecurity, particularly within the realm of penetration testing (PT), the integration of artificial intelligence (AI) holds transformative potential. This section outlines a forward-thinking approach aimed at leveraging AI technologies, such as machine learning (ML), reinforcement learning (RL), and deep reinforcement learning (DRL), to enhance PT practices. The project's scope seeks to address critical challenges by exploring how AI can be effectively integrated throughout the PT process to not only anticipate and counteract modern cyber threats but also to streamline operations and reduce errors associated with human factors. Given the increasing sophistication of threats, particularly those powered by AI, this initiative is crucial for equipping cybersecurity professionals with the tools necessary to stay ahead. This approach is designed to not only bridge existing gaps in research and application but also to pave the way for a new era of automated and offensive cybersecurity measures.

## Project Scope

The rapidly evolving cybersecurity landscape, with its complex and labor-intensive strategies, stands poised to significantly benefit from these recent advancements in AI technology. This surge in AI's significance is crucial for cybersecurity endeavors such as PT, the linchpin of proactive cybersecurity. The integration of AI in PT has the potential to level the playing field and empower defenders to anticipate and mitigate threats effectively.

The motivation behind this project is to comprehensively explore modern threats and current PT methods by examining the integration of various AI techniques including ML, RL, and Deep Reinforcement Learning (DRL), into PT practices. At its core, this project aims to address a fundamental question: How can AI techniques be effectively harnessed throughout the PT process? This question is critical, especially with the increasing adoption of AI by cybercriminals, as it demands cybersecurity professionals to adapt promptly; a sole reliance on traditional PT methods may leave pentesters unequipped to combat modern threats. This project aims to benefit cybersecurity defenders, ethical hackers, security analysts, and researchers by providing insights into AI tools and techniques, along with suggestions for overcoming current limitations.

Additionally, the hope is that a shift towards intelligent automation can not only reduce testing time and resources, but also mitigate many of the prevalent and "recurrent human errors" in manual PT that stem from factors such as "tiredness, omission, and pressure" [1]. This transformation in the field, as highlighted by [3], signifies the use of "advanced algorithms, machine learning, and AI to scan systems for vulnerabilities" and offering a path towards more effective, efficient, and error-resistant cybersecurity practices.

## Current Limitations

However, addressing the current landscape of research in the integration of AI in PT reveals several notable limitations. Firstly, there exists a significant gap in research and testing within this domain, thereby signifying an unexplored terrain ripe for investigation. While research has begun exploring this novel integration [1] [3], many of these approaches are limited by their reliance on manual human intervention for vulnerability identification and exploitation. While this reliance hinders the full realization of AI's potential in the PT process, transitioning towards a fully autonomous solution presents complex technical hurdles. For example, the shift to intelligent, real-time detection would require addressing issues of data accuracy, model robustness, and environment adaptability.

To ensure data accuracy, it is essential that all input data is precise and reliable. However, achieving this precision in dynamic network environments can be challenging since data is often incomplete, outdated, or biased; this is especially dangerous in PT as these inaccuracies can lead to the misinterpretation of vulnerabilities or threats and potentially result in ineffective security measures. Therefore, data accuracy is vital for ensuring model robustness. For truly effective threat detection, models must be capable of adapting to evolving threats and environments in order to perform consistently across diverse scenarios. This level of adaptability requires sophisticated algorithms that can understand and interpret incomplete or biased data while accounting for various attack vectors, software vulnerabilities, and system configurations.

The integration of AI in PT is a relatively novel field, where these challenges of ensuring accuracy, robustness, and adaptability are still being navigated and tested. Due to its infancy, research in this domain is notably limited and requires further exploration and innovation before a fully automated PT tool becomes reality. As this report delves into the Literature Review, it will examine existing solutions to these discussed challenges. Then, by exploring current research in the field, this report can identify existing solutions and use their insights to pave the way for innovative approaches to overcome current limitations and advance the field of AI in PT.

# Implementation and Evaluation

This section delves into the practical applications and effectiveness of three pivotal technological approaches in offensive penetration testing: Automated Decision-Making Systems (ADMS), Self-Improving Systems, and Human-in-the-Loop (HITL). Each system offers unique capabilities in enhancing AI's role in cybersecurity by addressing critical limitations such as excessive human reliance, insufficient data accuracy, and inadequate model robustness and environmental adaptability. Through the lens of advanced AI techniques like deep learning and natural language processing, ADMS aim to automate and streamline decision-making processes. Concurrently, Self-Improving Systems focus on harnessing real-time data to continuously refine their operations and adapt to evolving threats autonomously. In contrast, HITL systems integrate human expertise directly into the AI learning loop, ensuring that models benefit from human insight while progressively reducing the need for human intervention. The evaluation of these systems will explore their respective impacts on the efficiency, accuracy, and adaptability of penetration testing methods, providing a comprehensive overview of their roles in advancing the field of cybersecurity.

## Automated Decision-Making Systems

Automated Decision-Making Systems (ADMS) are foundational in the evolution of AI for penetration testing due to their ability to reduce human reliance while simultaneously enhancing the discussed critical limitations such as data accuracy, model robustness, and environmental adaptability. These systems leverage techniques such as deep learning, transfer learning, text-mining, NLP, and more to automate critical decision-making processes and allowing for faster and more accurate identification of potential vulnerabilities and weaknesses in cybersecurity defenses [8].

This automation is important for pentesters as it significantly minimizes manual intervention, thereby reducing the potential for errors and improving the overall effectiveness of AI models in penetration testing scenarios. For example, ADMS can leverage formal decision support systems (DSS) and attack graph modeling to assist pentesters in identifying optimal attack vectors and vulnerabilities [8]. By automating the process of evaluating risk factors, integrating vulnerability intelligence extracted from public vulnerability datasets such as CVE and NVD, and determining the best course of action, ADMS streamline and enhance the accuracy of penetration testing strategies.

For example, recent work by [9] demonstrates the effectiveness of automation in identifying vulnerabilities in Internet of Things (IoT) systems. They use a named entity recognition (NER)-based solution to analyze security data sources in natural language, which can be time-consuming for security experts. The proposed system incorporates considerations for 'ongoing changes in the CVE database and the current situation of the IoT system,' to assist pentesters in quickly identifying potential vulnerabilities, assessing their impact, and recommending targeted security measures [8].

Overall, ADMS play a crucial role in overcoming key challenges associated with fully automating penetration testing, including excessive human reliance, ensuring data accuracy, bolstering model robustness, and enhancing environmental adaptability. Through advanced AI techniques such as deep learning and natural language processing, ADMS streamlines decision-making processes and reduces human reliance, thereby minimizing errors and enhancing the overall effectiveness of AI models in penetration testing scenarios. This automation not only speeds up the identification of vulnerabilities but also ensures a more accurate and adaptable approach to cybersecurity testing, making ADMS indispensable for offensive pentesters in navigating the dynamic and complex cybersecurity landscape.

## Self-Improving Systems

The integration of self-improving systems is another important part of enhancing the effectiveness of AI within offensive pentesting methods by significantly reducing human input, enhancing data accuracy, increasing model robustness, and improving environmental adaptability. As highlighted by [10], there has been a recent inclination towards integrating ML models into feedback loops through methodologies such as reinforcement learning (RL) to interact with environments, take actions, receive feedback, and adapt accordingly. This approach allows AI applications to "operate in real environments", "react to sensory data", "perform continuous micro-simulations", aand execute contextually appropriate actions [10]. By incorporating this action-reward framework into automated systems, researchers provide a means to quickly detect and respond to threats - all with millisecond latency and high throughput, while minimizing human oversight. Therefore, these systems not only reduce overall manual workload, but they also minimizes the risk of human error, thereby ensuring data accuracy while augmenting model robustness and environmental adaptability.

As mentioned, these systems leverage real-time data to refine their decision-making processes continuously in order to adapt to changes and learn from interactions within their environment. This capability is crucial in penetration testing where dynamic assessment and response are paramount. As a result, these systems use their low latency, high throughput, and robust fault tolerance capabilities to efficiently handle important model requirements such as "dynamic task creation", "heterogeneous tasks", and "arbitrary dataflow dependencies [10]". These attributes are essential for the real-time analysis of security vulnerabilities, rapid threat identification, as well as managing the complex computational demands inherent to pentesting scenarios.

Self-improving systems also improve the robustness of AI models by enabling them to handle diverse and dynamic computational tasks. Task graphs, for instance, are utilized within real-time ML systems to orchestrate heterogeneous tasks, which vary in complexity, resource requirements, and processing types - all while meeting stringent performance benchmarks [10]. Essentially, this capability ensures that the AI systems can maintain high performance and reliability, even when faced with varying operational demands. Therefore, by introducing self-improvement mechanisms, AI models gain a unique flexibility to dynamically adjust their computational strategies. This not only increases the model's robustness by allowing it to maintain optimal performance across a variety of conditions, but also enhances its environmental adaptability.

## Human-in-the-loop

In addition to Automated Decision-Making Systems and Self-Improving Systems, Human-in-the-loop (HITL) systems offer an alternative approach to overcoming the challenge of overt human reliance in offensive pentesting methods. While the former systems prioritize reducing human input through automation and self-improvement, HITL systems acknowledge the value of human expertise and decision-making capabilities. By "integrating human knowledge and experience" into AI models, HITL systems adopt a hybrid approach that not only enhances data accuracy, model robustness, and environmental adaptability, but also ensures that AI-driven penetration testing tools are guided by human expertise, rather than being weighed down by it [11].

HITL systems are particularly successful at refining the capabilities of AI models in fields requiring high accuracy and adaptability, such as pentesting. By incorporating human intelligence into the ML loop during the training phase, the model is able to improve understanding and performance. As highlighted by [11], human intervention during this phase is vital for the success of deep learning, given its significant reliance on high-quality data and precise parameter adjustments. This is especially true when dealing with complex, high-demensional data where finding optimal parameter can be challenging and often requires significant expertise. However, HITL can address this challenge through an "interactive parameter adjustment mode," which allows users to actively participate in refining parameters based on their intentions or goals.

The reason HITL systems are a viable option for mitigating challenges caused by excessive human input is that, despite initially seeming counterintuitive due to an emphasis on human involvement, these systems capitalize on AI models trained within them becoming adept at mimicking human decision-making. In other words, as AI models trained under these systems become more proficient at simulating human-like decision-making processes, the need for continuous human intervention gradually decreases. This is especially crucial for security systems, which rely heavily on human operation, as human errors are often amplified due to factors such as "inattentiveness" or "nonproficiency" [11]. Therefore, through the collaborative learning process with humans, HITL systems improve their ability to autonomously predict and manage operational states. For instance, [11] illustrate how automated feedback loops that interpret control panel interactions provide a real-time, precise monitoring and response mechanism that an AI trained without human-derived insights might miss. This precision is vital for pentesting because it allows for the immediate detection and mitigation of security vulnerabilities that could be exploited in real attacks.

HITL also significantly increases the robustness of AI models. As these systems encounter a diverse array of scenarios, they learn, adapt, and strengthen their predictive and reactive capabilities. This adaptability is vital in offensive penetration methods, where AI systems must robustly handle unpredictable and evolving security threats. By continuously learning and adapting from human experts within the HITL framework, these systems develop resilience and flexibility. By dynamically allocating roles and responsibilities between humans and AI—effectively deciding "who should do what" based on situational demands—these systems can adapt to changing environmental conditions and requirements [11]. In offensive penetration, such adaptability translates to AI systems capable of navigating and securing diverse network environments and configurations, which are subject to constant change due to technological advancements and evolving threat landscapes.

Therefore, by integrating HITL, AI systems achieve higher data accuracy, increased robustness against diverse threats, and improved adaptability to different operational environments. These enhancements are crucial for the effectiveness of AI in offensive penetration methods, enabling these systems to preemptively identify vulnerabilities, adapt to new attack vectors swiftly, and respond with precision. Ultimately, this integration leads to reduced reliance on continuous human input and provides more secure and resilient network infrastructures.

# Comparison

In evaluating the implementation of Automated Decision-Making Systems (ADMS), Self-Improving Systems, and Human-in-the-Loop (HITL) in offensive penetration methods, it is crucial to compare their contributions, limitations, and overall impact on enhancing AI's effectiveness in cybersecurity.

## A. Comparison of Effectiveness in Reducing Human Input

ADMS are primarily designed to minimize human intervention by automating decision-making processes. This attribute is critical in fast-paced environments where quick response times are essential. For instance, ADMS's ability to rapidly process and react to new vulnerabilities through automated workflows significantly speeds up the penetration testing process. In contrast, while Self-Improving Systems also reduce human input, they focus more on learning from their environment to enhance their performance autonomously. These systems adapt over time, improving their operations based on continuous feedback without human intervention.

HITL systems, however, adopt a different approach. They maintain a balanced level of human involvement, especially in the training and refinement phases of model development. This integration ensures that the AI systems benefit from human expertise while gradually reducing the dependency as the AI's decision-making capabilities mature. Although this approach does not minimize human input as quickly as ADMS, it offers a more controlled reduction, ensuring quality and accuracy are maintained.

## B. Enhancement of Data Accuracy and Model Robustness

In terms of enhancing data accuracy, HITL systems stand out by incorporating human expertise into the data verification and training process, which significantly improves the quality of the model's learning material. This method is beneficial in scenarios where nuanced understanding and complex decision-making based on diverse data sets are required. On the other hand, Self-Improving Systems enhance data accuracy indirectly by continuously refining their algorithms as they encounter new data, thereby adapting and improving over time.

ADMS enhance data accuracy through the integration of various automated tools and databases, such as CVE and NVD, which help in identifying and classifying vulnerabilities more accurately. However, these systems may not adapt as dynamically to new or unexpected types of data compared to Self-Improving Systems and HITL approaches.

## C. Adaptability to Environmental Changes

Environmental adaptability is another critical area for comparison. Self-Improving Systems excel in this aspect by design, as their core functionality is to adapt to changing environments through learning and evolving. These systems are particularly effective in dynamic scenarios where attack patterns frequently change, requiring the system to continuously learn and adjust.

ADMS, although effective in predefined environments, may lack the flexibility to adapt quickly to unexpected changes without manual updates or reconfiguration. In contrast, HITL systems leverage human input to guide adaptations, making them versatile in handling new and evolving threats. The human component allows these systems to incorporate novel insights that might not yet be part of the training data or recognized by purely automated systems.

## D. Overall Impact on Offensive Penetration Methods

When comparing the overall impact on offensive penetration methods, each system offers unique advantages. ADMS are best suited for environments where speed and efficiency are paramount. Self-Improving Systems are ideal in scenarios where the threat landscape is continuously changing. HITL systems are most beneficial where the complexity of the security environment requires deep, nuanced understanding that benefits from human insight.

In conclusion, while each system has its strengths, the choice between ADMS, Self-Improving Systems, and HITL systems often depends on the specific requirements of the penetration testing environment, including the need for speed, accuracy, adaptability, and depth of understanding. A hybrid approach, utilizing elements from each system, might often be the most effective strategy to cover all aspects of an advanced offensive penetration method.

# Conclusion and Discussion

As technology relentlessly advances, the cybersecurity field must evolve accordingly - embracing more sophisticated automation or risk falling behind. The integration of automation technologies, particularly AI and ML into PT, holds significant potential to transform cybersecurity practices. These technologies offer the potential for more efficient and effective PT processes by empowering organizations to identify and mitigate vulnerabilities proactively, automatically, and intelligently. However, navigating the dynamic intersection of technology and security demands confronting challenges such as ensuring data accuracy, maintaining model robustness, and facilitating environmental adaptability.

This report provides a comprehensive exploration of AI integration into PT by addressing these challenges and exploring their future potential. It delves into the potential of Automated Decision-Making Systems (ADMS), Self-Improving Systems, and Human-in-the-Loop (HITL), each offering distinct advantages for enhancing the efficiency and effectiveness of cybersecurity measures. ADMS are pivotal in reducing human reliance by streamlining the decision-making process through the application of DRL and NLP. This automation not only facilitates quicker and more accurate vulnerability assessments but also significantly enhances the speed and precision of PT processes. However, while ADMS excel in environments requiring rapid responses, they may lack the flexibility to fully adapt to new and unexpected challenges without preconfigured parameters.

In contrast, Self-Improving Systems excel in dynamic environments by continuously adapting their operational strategies based on real-time data. This ability allows them to proactively respond to emerging threats, thereby enhancing both the robustness and adaptability of cybersecurity models. These systems represent the essence of evolutionary algorithms, where ongoing refinement and learning from environmental interactions substantially improve their efficacy.

Human-in-the-Loop (HITL) systems integrate essential human insights into the AI learning process to ensure that AI-driven tools benefit from both autonomous operation and the nuanced understanding and adaptability of human oversight. This hybrid approach not only enhances data accuracy and model robustness but also ensures that the systems are versatile enough to handle complex, novel, or poorly defined threats that purely automated systems might overlook.

Ultimately, this research contributes to the advancement of automated PT methodologies and proposes viable solutions to the common challenges that hinder the evolution of PT practices. By striving for more integrated and intelligent automation in PT, there is hope for a future where AI is not only a tool wielded by criminal hackers but harnessed by cybersecurity professionals to stay one step ahead. In this way, the integration of ADMS, Self-Improving Systems, and HITL into PT not only enhances offensive defense strategies, but also ensure the resilience and security of our digital future.

# References

|  |  |
| --- | --- |
| [1] | M. C. Ghanem and T. M. Chen, "Reinforcement Learning for Intelligent Penetration Testing," in *Second World Conference on Smart Trends in Systems, Security and Sustainability*, London, 2018. |
| [2] | H. M. Z. A. Shebli and B. D. Beheshti, "A study on penetration testing process and tools," in *Long Island Systems, Applications and Technology Conference (LISAT)*, Farmingdale, 2018. |
| [3] | A. AlMajali, L. Al-Abed, R. Mutleq, Z. Samamah, A. A. Shhadeh, B. J. Mohd and K. M. Ahmad Yousef, "Vulnerability Exploitation Using Reinforcement Learning," in *Jordan International Joint Conference on Electrical Engineering and Information Technology*, Amman, 2023. |
| [4] | M. T. Spaan and N. Vlassis, *Perseus: Randomized Point-based Value Iteration for POMDPs,* vol. 24, 2005, p. 26. |
| [5] | R. S. Jagamogan, S. A. Ismail, N. H. Hassan and H. Aba, "Penetration Testing Procedure using Machine Learning," in *International Conference on Smart Sensors and Application (ICSSA)*, Kuala Lumpur, 2022. |
| [6] | gyoisamurai, *GyoiThon: Next generation Penetration Test Tool,* 2021. |
| [7] | Q. T. Luu, *Q-Learning vs. Deep Q-Learning vs. Deep Q-Network,* 2023. |
| [8] | R. Kaur, D. Gabrijelčič and T. Klobučar, "Artificial intelligence for cybersecurity: Literature review and future research directions," in *Information Fusion*, 2023. |
| [9] | T.-M. Georgescu, B. Iancu and M. Zurini, "Named-Entity-Recognition-Based Automated System for Diagnosing Cybersecurity Situations in IoT Networks," *Sensors,* vol. 19, no. 15, 2019. |
| [10] | R. Nishihara, P. Moritz, S. Wang, A. Tumanov, W. Paul, J. Schleier-Smith, R. Liaw, M. I. Jordan and I. Stoica, "Real-Time Machine Learning: The Missing Pieces," March 2017. |
| [11] | X. Wu, L. Xiao, Y. Sun, J. Zhang, T. Maa and L. He, "A survey of human-in-the-loop for machine learning," *Future Generation Computer Systems,* vol. 135, pp. 364-381, 2022. |